Identifying Repair Opportunities in Child-Caregiver Interactions

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Abstract

Identifying and repairing breakdowns in communication is critical for maintaining and developing common ground between interlocutors. In the context of child-caregiver interaction (the focus of the current study), parents' repair has an important role both for scaffolding early communication and for providing a learning signal. Developmental studies have typically focused on linguistic markers of parents' repair and repair initiation (e.g., clarification request); here, we analyze such instances relative to overall repair opportunities, allowing us to quantify the extent to which parents seize repair opportunities. We analyzed a corpus of N=15child-caregiver dyads, where children were 7 to 11 years old. Using manual annotation, we identified the repair opportunities presented by the child and found that the caregivers initiated repair in only a small subset of the cases where a repair opportunity presented itself. We further tasked several large language models (LLMs) to test their capacity to recognize repair opportunities in children's utterances and found their performance to be lacking compared to human annotators. This study provides an initial exploration that is valuable both for developmental studies and for researchers aiming to improve child-machine interaction for applications such as personalized education.

1 Introduction

For an effective, intelligible, and fluent conversation, a key competency that the interlocutors must possess is the ability to successfully coordinate and negotiate their shared beliefs, knowledge, and assumptions (Clark and Schaefer, 1989; Clark, 1996; Stalnaker, 1978). This ability — also known as conversational grounding — allows the interlocutor to interpret an utterance accurately based on their shared knowledge with the speaker of the utterance and the dialog history, thereby letting the interlocutor respond in a coherent and effective

manner. It helps the interlocutors resolve any ambiguity and clear up misunderstandings that occur during a conversation (Fried et al., 2023).

Interlocutors in a conversation start out with some shared belief space or common ground from shared culture, a social group, or previous interaction (Baker et al., 1999; Clark, 1996). The common ground is then further developed throughout the conversation by contributions from all the participants in the conversation (Clark and Brennan, 1991). For grounding any information, the interlocutors need to provide implicit or explicit evidence that information has been well communicated and understood. This evidence can take the form of acknowledgments (e.g., backchannels), initiation of the relevant next turn, by showing continued attention (e.g., through eye gaze), by issuing a clarification request, among other signals (Clark and Brennan, 1991; Clark and Krych, 2004; Clark and Schaefer, 1989).

Identifying and repairing breakdowns in early communication

Typically, an interlocutor has a communicative intent in mind that they need to get across to the other interlocutor. To illustrate, suppose Jane has an intent *I* in mind and tries to communicate it to Jack. If I has something to do with a situated object as, for instance, is often the case when talking to a young child — then one of the actions Jane can take is to simply point to the object to indicate her intent, or by looking at the target, inviting gaze following (e.g., Frank et al., 2009). However, if *I* is not situated — e.g., an abstract idea or a displaced target — something that becomes more and more prevalent as children develop, then Jane and Jack need to ground I in their mutual understanding by more sophisticated means. To this end, Jane continually monitors Jack for signs of understanding of her intent. If Jack shows signs of misunderstanding, then she can step in and repair the misunderstanding. The objective of this exercise is to ensure that both Jack and Jane share their understanding of Jane's intent I.

Indeed, one of the primary mechanisms for maintaining common ground is identifying and repairing breakdowns in communication (Clark and Krych, 2004; Purver et al., 2018; Benotti and Blackburn, 2021; Dingemanse et al., 2015; Fusaroli et al., 2017; Schegloff, 1992). However, we know little about how this mechanism plays out in child development, especially in child-caregiver interactions. This is a significant gap given that many proposals suggest a role for caregivers' communicative feedback on children's production, especially when these productions are ill-coordinated or poorly constructed and potentially helping in furthering language use refinement (Nikolaus and Fourtassi, 2023; Clark, 2018, 2020). While there is a wealth of studies focusing on caregivers' role in guiding infants' understanding when in a situated context and the target is visually accessible (e.g., review in Çetinçelik et al., 2021), there is hardly any study quantifying this phenomenon when the target is abstract or not visually available to interlocutors.

A notable difficulty here, especially when analyzing spontaneous conversations (e.g., CHILDES, MacWhinney, 2000), is that the intent to be grounded (i.e., I) is not always apparent to a third party, namely the researcher, making the analysis fully dependent on the caregiver's reaction to what the child said (e.g., whether the caregiver asked for clarification). While a focus on the caregiver reactions allows for an estimate of actual repair initiation, this estimate can be misleading because it does not account for all *repair opportunities*, some of which may have been missed or ignored by caregivers.

To address the difficulty of identifying the caregiver's intent in fully unstructured settings, here we resort to using a weakly structured word-guessing game that allows us to maintain a (relatively) naturalistic conversational style while also providing access to the ground truth intent I (i.e., the word to be guessed). While this context — where the caregiver is making a child guess a word — is not fully naturalistic, it is meant to approximate the instance when the caregiver and child work collaboratively to ground a complex intent or idea (e.g., why limiting screen time is important) in shared understanding, only here this intent is operationalized, for simplicity, as a simple word that needs to be guessed.

The goals of the current study

Using this setup, a first goal of the current study is to quantify caregivers' actual repair relative to repair opportunities, as follows. First, we characterize all children's questions (e.g., "Does this object fly?") in terms of being well or ill-coordinated, thanks to our access to the caregiver's intent and the exchange history. In particular, the subset of children's questions that are ill-coordinated (e.g., asking "Does this object fly?" when it was already established that the object cannot take flight) provides the set of what we call **repair opportunities**. Second, we characterized instances of caregivers' actual repair (e.g., the caregiver reminding the child that their question is not valid given what has been discussed so far).

A second goal was to study the extent to which Large Language Models (LLMs) can recognize repair opportunities in children's utterances; a fundamental task these models need to solve in order to be able to provide effective repair and help in children's learning (e.g., in an personalized educational setting), in a similar way that caregivers' repair help children learn (e.g., Clark, 2020). We examine the capabilities of current LLMs to identify whether the child's question is valid or not given the previous conversational context and the word picked by the caregiver. Figures 1 and 2 demonstrate this experimental setup.

Our annotations and our code to run all the models locally are publicly available at https://github.com/abhishek-agrawal94/common-ground.

2 Methods

2.1 Data

We make use of the CHICA corpus (Goumri et al., 2024) which consists of recordings of child-caregiver face-to-face interactions in French. There are 15 dyads across three age groups in middle childhood (5 recordings per group) where the age of the child is around 7, 9 and 11 years old. The interlocutors take turns in picking a word and having the other interlocutor try to guess the word correctly by asking various questions about it. For further details on the data collection we refer the reader to the original paper.

2.2 Manual Annotation

After masking all the personal identifiers of the interlocutors in the data, we manually annotated all

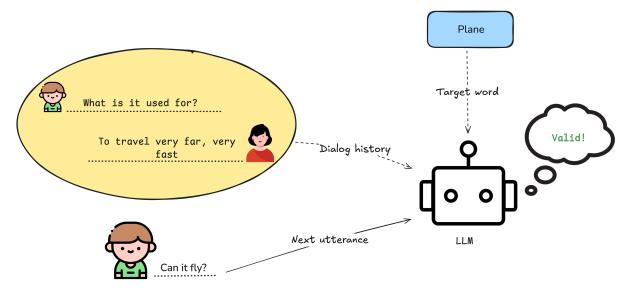


Figure 1: Example of a valid question asked by the child.

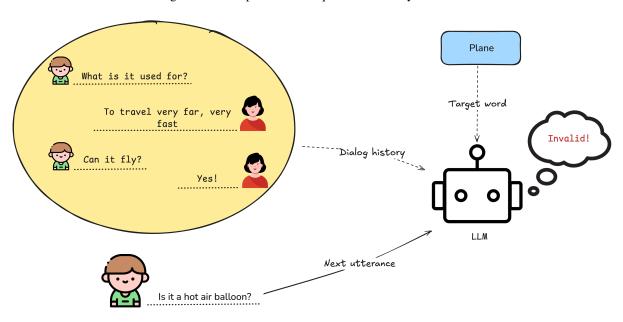


Figure 2: Example of an invalid question asked by the child leading to a possible repair opportunity. Here, the question is invalid because a hot air balloon can neither travel very far nor is it very fast.

the questions asked by the child as either "valid" or "invalid" based on the previous dialog history and the word being guessed (which is known to the caregiver but not to the child). We considered only the transcript of the conversation while annotating the data. A child's question was marked as invalid if the question directly contradicted some information or a fact that was established by the parent and the child in the past dialog turns. Questions were also marked as invalid if they were repetitions of the same questions that were previously asked by the child. Two authors annotated approximately 25% of the data separately and obtained a Cohen's Kappa score of $\kappa=0.75$. The first author

annotated the rest of the data, leading to a total of N=739 questions across the entirety of the 15 recordings. In addition to these repair opportunities, we also annotated whether the caregiver initiated a repair. $^{\rm l}$

2.3 LLMs' testing

We tested a variety of models on our task of identifying breakdowns in child-caregiver interactions. Our selection of models are from amongst the most widely used set of models which have generally shown good performance across several tasks on

¹These repairs are all other-repairs; we didn't annotate for self-repairs.

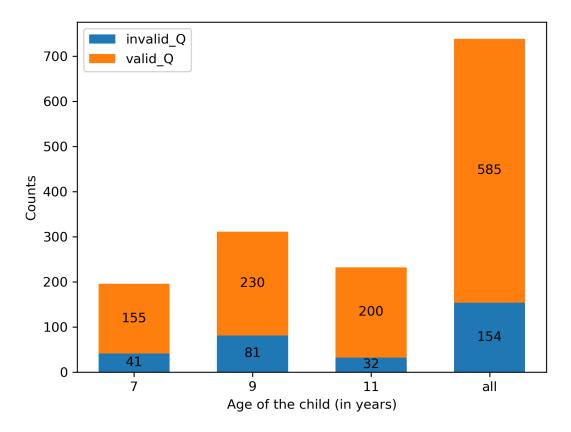


Figure 3: Distribution of valid and invalid questions asked by the child across all age groups.

various benchmarks and leader-boards (for e.g., the Chatbot Arena (Chiang et al., 2024)). All our models (except for GPT-40) were downloaded from Ollama² and run locally on our system in inference mode (no fine-tuning). All the models downloaded from Ollama are 4-bit quantized versions by default. The models we tested in our study are as follows:

- Llama-3.1 8B³
- Llama-3.2 3B⁴
- Gemma-2 9B⁵
- Phi-3 14B⁶
- Mistral 7B⁷

- Mistral-nemo 12B⁸
- GPT-40⁹

We used a few-shot prompting strategy to elicit from the LLMs whether a question posed by the child to the caregiver is valid or not based on all the previous relevant dialog history until that point. The prompt templates can be found in the Appendix A. We tested the LLMs both on the original French data as well as the English translation ¹⁰ to see if the language of communication affected the performance of the models.

3 Results and Analyses

3.1 Caregiver repairs vs. repair opportunities

First, we show the results of manual annotation. Figure 3 shows the distribution of children's breakdowns in our manually annotated data. 585 questions in total were "valid" and 154 questions were

²https://ollama.com/

³https://huggingface.co/meta-llama/Llama-3.
1-8B

https://huggingface.co/meta-llama/Llama-3.
2-3B

⁵https://huggingface.co/google/gemma-2-9b

⁶https://huggingface.co/microsoft/

Phi-3-medium-128k-instruct

⁷https://mistral.ai/news/
announcing-mistral-7b

⁸https://mistral.ai/news/mistral-nemo

⁹https://openai.com/index/hello-gpt-4o/

¹⁰Obtained through Google Translate and manual correction

Language Model	Balanced accuracy	
	English	French
Llama-3.1	0.60	0.59
Llama-3.2	0.57	0.57
Gemma-2	0.69	0.69
Mistral	0.61	0.62
Mistral-nemo	0.58	0.58
Phi-3	0.62	0.59
GPT-40	0.75	0.76
Human score	0.84	

Table 1: Balanced accuracy scores for few-shot prompting strategy.

"invalid", showing insufficient grounding of prior information in around 26% of the time. This number varied across age groups (26% in the younger age group, 35% in the middle, 16% in the older group), but these numbers do not reflect a systematic developmental change.

The set of invalid questions represent what we call repair opportunities. We found that caregiver initiated N=59 repairs, 95% of which followed invalid questions. Thus, out of a total of 154 repair opportunities, caregiver instantiated repair in about 36% of the time. Thus, while caregiver repair is not rare, it addresses only a minority of repair opportunities.

3.2 Can LLMs detect repair opportunities?

Table 1 shows the balanced accuracy scores for all the models when identifying whether a question by the child is valid or not. As seen in the table, the score of all models (except GPT-40) are generally low and barely perform above chance, showcasing the difficulty of the task. This was the case both when using the original version in French and when using the English translation, showing that the reasons the models find the task difficult is not due to the use of French (as one may suspect, given that the models are trained primarily on English data scraped off the internet). While GPT-40 (and to some extent Gemma-2) shows a much better accuracy (around 0.75 in the case of GPT-40), it is still lower than accuracy based on human inter-annotation agreement (Cohen's Kappa score of $\kappa = 0.75$ translates into an accuracy of 0.84).

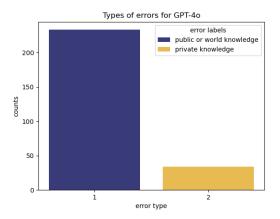


Figure 4: Error analysis for GPT-40 model.

Error analysis We analyzed the errors that these models make in their predictions. An interesting distinction to consider in the context of grounding is when the information to be grounded is a) common world knowledge that even strangers can have access to, and b) when this information is, instead, more dependent on the interlocutors sharing previous experiences that a third party may not have access to. We gave real examples of both cases from our data, shown in boxes 1 (Example 1) and 2 (Example 2). In example 1, which illustrates errors regarding common world knowledge, the caregiver has a target word "book", they establish with the child that the object is rectangular, after which the child poses the question, "Does that mean a square?". The child's question can be easily classified as "invalid" by a third party. In example 2, which illustrates annotation difficulty due to private knowledge, the caregiver's target word is "cactus" and the child asks if they have the object available at home. The child's question relates to private knowledge (what the dyad has at home) and, therefore, makes the question less straightforward to categorize.

Given that the models do not have access to the interlocutors' private common ground, it could be understandable if most errors fall in this category. However, this was not the case. In fact, the overwhelming majority of the errors (Figure 4 for the best performing model) are related to common world knowledge (around 87%) and only a small subset concerns private knowledge shared by the interlocutor (around 13%), indicating ample room for improvement in these models regarding common world knowledge reasoning.

Example 1

Target word: A book

Caregiver: *Usually it is rectangular.*Child: *What does a rectangle mean?*

Caregiver: It's like a rectangle. And there are

words inside.

Child: What is a rectangle again? Caregiver: A rectangle is like that.

Child: Like that?

Caregiver: Like your presentation folder.

Child: Ok.

Child: *Does that mean a square?* \rightarrow [Invalid]

Caregiver: No, a rectangle.

— ChiCa corpus, ID_3.csv

Example 2

Target word: A cactus

Child: Is it green?

Caregiver: *Yeah*, *it's green*. Child: *Is it a bit hard?*

Caregiver: Yes, except when it rots, it becomes

very soft.

Caregiver: It's hard and above all it has a

special characteristic.
Child: Does it smell good?
Caregiver: No, not particularly.

Child: *Is it hard?* Caregiver: *Yes*.

Child: Is that... I don't know.

Caregiver: I don't know, ask if we have any or

does it grow, I don't know.

Child: *Do we have any?* \rightarrow [Valid]

Caregiver: We have a whole one... In one of the planters, there are some very small ones.

— ChiCa corpus, ID_2.csv

Does caregiver's repair indicate severity of communicative breakdown? So far, we tested the models on their ability to detect all repair opportunity and we found low to moderate performance. However, we know that caregivers initiate repair in only a minority of cases (as we saw above). One possibility is that caregivers initiate repair only for the subset of invalid questions that are more severe and risk to seriously disrupt the grounding process, in which case, we would expect the models to find it easier to classify those more apparent cases. As reported above, caregivers initiated a total of N=59

Language Model	Accuracy
Llama-3.1	0.60
Llama-3.2	0.55
Gemma-2	0.67
Mistral	0.63
Mistral-nemo	0.56
Phi-3	0.64
GPT-4o	0.75

Table 2: Accuracy scores for repair initiating questions.

repairs, 56 of which followed invalid questions and 3 followed valid questions. To create a balanced testing data, we randomly sampled 56 valid questions and 3 invalid ones. Table 2 shows the results when we restricted our analysis to this subset. The accuracies are very similar to when the models were tested on the larger dataset; suggesting that the subset of repairs that caregivers initiate do not necessarily target more obvious cases of communicative breakdown, at least from the perspective of the LLMs we tested.

4 Conclusions

This study offers a first exploration into the identification of repair opportunities in child-caregiver interactions. The main finding is that caregivers address only a small portion—approximately one third—of the potential repair opportunities that arise during conversation.

We also evaluated the ability of several large language models (LLMs) to identify repair opportunities in children's utterances. Compared to human annotators, the models showed limited performance, underscoring the complexity of the task. Among the models tested, the larger, closed-weight model GPT-40 outperformed the smaller, openweight models, consistent with prior findings on grounding-related tasks (Hakimov et al., 2025; Mohapatra et al., 2024b). Error analysis revealed that GPT-40's failures often stem from a lack of common world knowledge and/or limitations in reasoning over such knowledge.

As an initial exploration, this study comes with several limitations. Like any corpus-based analysis, as opposed to experimental approaches, it does not allow for the elicitation of specific phenomena and is constrained by what occurs naturally in the data. For example, although we annotated a relatively large number of data points (N=739), instances of

our target phenomenon—invalid questions, or repair opportunities—were limited to just 154 cases. This smaller sample size limits the strength of our conclusions. Accordingly, our main finding, that parents respond to only a subset of available repair opportunities, should be interpreted with caution and awaits confirmation in future, larger-scale studies.

A key limitation in our evaluation of LLMs' ability to identify repair opportunities is that the models merely "overheard" the conversation, rather than actively participating in it. As Madureira and Schlangen (2024) rightly argue, developing common ground requires active engagement in the interaction. However, an 'overhearing' paradigm does not undermine the outcome of our approach, since the task focused solely on assessing the validity of questions based on prior dialogue context, rather than simulating the grounding process itself, a step that we did not address here.

Finally, our study relied solely on transcripts. However, the development of common ground is inherently a multimodal phenomenon. Evidence of grounding and repair initiation often appears in visual cues such as head nods, shakes, frowns, or pointing gestures. In naturalistic, free-flowing conversation, the signals for detecting and addressing communication breakdowns are typically more subtle and multimodal than what was captured here. That said, this limitation is partially mitigated by the design of the game, which required interlocutors to verbalize their repair initiations—making them almost always identifiable in the transcripts. While this reduces concerns about the internal validity of our operationalization (by focusing on the transcript), it leaves open the broader question of ecological validity.

To conclude, our corpus analysis reveals that caregivers draw on only a limited subset of the potential repair opportunities that arise during interactions with children. Moreover, we show that several large language models (LLMs) underperform compared to humans in identifying these opportunities for repair in child–caregiver conversations. This highlights the need for further improvement, particularly in the context of applying LLMs to e-tutoring systems.

5 Related work

Recently, LLMs' limitations in conversational grounding abilities have drawn the eye of NLP

researchers (Benotti and Blackburn, 2021; Chandu et al., 2021; Shaikh et al., 2024). For instance, studies show that LLMs struggle to understand when utterances are implicitly grounded (Jokinen et al., 2024) and that if conversational agents are augmented with theory-of-mind modeling, it improves their capacity to align with the speaker and helps in negotiating their common ground (Qiu et al., 2024). Cheng et al. (2024) explore the use of a multimodal transformer model to predict uncertainty in young children engaged in a counting task and finds a potential for improvement. The uncertainty of an interlocutor during a conversation is evidence of their understanding, which ties in directly to their shared common ground. Benotti and Blackburn (2021) raise an important concern that the way current LLMs and dialog models interact with humans can be misleading at times as they build false expectations of their common ground with their interlocutors. This, in turn, leads to a rise in misunderstandings, which can be frustrating for humans attempting to converse with dialogue models.

One of the avenues of studying grounding is to consider using LLMs to evaluate grounding acts in a conversation as proposed by Traum (Traum and Allen, 1992). LLMs tend to struggle to classify grounding acts in a conversation as well as generate them and their classification capabilities are directly linked to the number of parameters of the model and the size of it's pre-training data (Mohapatra et al., 2024a; Shaikh et al., 2024; Mohapatra et al., 2024b). Various forms of grounding have been studied by considering several grounded language tasks like reference games (for e.g., Kennington and Schlangen, 2015; Golland et al., 2010; Monroe et al., 2017) and goal-oriented dialog tasks (for e.g., Das et al., 2017; De Vries et al., 2017; Kim et al., 2019; Udagawa and Aizawa, 2019; Haber et al., 2019; Narayan-Chen et al., 2019) amongst other things (see Chandu et al. (2021) for a nonexhaustive list of tasks). However, the issue with most of these tasks and the phenomena that the researchers are trying to model with these tasks is not really conversational grounding and it is restricted to either referential grounding or grounding in terms of a particular modality (e.g., visual grounding) (Ilinykh et al., 2019; Hakimov et al., 2025; Jeknić et al., 2024; Chandu et al., 2021).

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A Prompt Template

The prompt templates for the English and French transcripts are shown in Fig 5 and 6 respectively.

```
Two people are playing a word guessing game where player 1 picks a word and
player 2 doesn't know this word. Player 2 needs to ask questions to player 1
to guess the word correctly. Given the dialog history in terms of the turns taken
by player 1 and player 2 and the word picked by player 1, you need to decide whether
the next question asked or statement made by player 2 or the object mentioned by player 2 is valid or
not based on the dialog history until that point. You need to give a boolean binary response (True or False) whether
the question is valid or not in JSON format. Use the following template: {valid: ""}.
Here are some examples to help you out.
                Word picked by player 1: A balloon.
Example 1:
Dialog history: player 2 turn: Is it a living being? player 1 turn: No. player 2 turn: Is it an object? player 1 turn: Yes.
Next question: Can you play with it?
{valid: True}
Example 2:
                Word picked by player 1: A cat.
Dialog history: player 2 turn: Is it a living being? player 1 turn: Yes. player 2 turn: Can it be a pet? player 1 turn: Yes.
Next question: a cat?
{valid: True}
                Word picked by player 1: A car.
Example 3:
Dialog history: player 2 turn: Is it a living being? player 1 turn: No.
Next question: is it an insect?
{valid: False}
End of examples.
Word picked by player 1: <TARGET_WORD>
Dialog history: <DIALOG_HISTORY>
Next question: <QUESTION>
```

Figure 5: Prompt template with English examples and transcript.

```
Two people are playing a word guessing game in the French language where player 1 picks a word and
player 2 doesn't know this word. Player 2 needs to ask questions to player 1
to guess the word correctly. Given the dialog history in terms of the turns taken
by player 1 and player 2 and the word picked by player 1, you need to decide whether
the next question asked or statement made by player 2 or the object mentioned by player 2 is valid or
not based on the dialog history until that point. You need to give a boolean binary response (True or False) whether
the question is valid or not in JSON format. Use the following template: {valid: ""}.
Here are some examples to help you out.
Example 1:
                Word picked by player 1: Un ballon.
Dialog history: player 2 turn: Est-ce que ça un être vivant? player 1 turn: Non.
                player 2 turn: Est-ce que ça un objet? player 1 turn: Oui.
Next question: Peux-tu jouer avec ça?
{valid: True}
Example 2:
                Word picked by player 1: Un chat.
Dialog history: player 2 turn: Est-ce que ça un être vivant? player 1 turn: Oui.
                player 2 turn: Est-ce que ça peut être un animal de compagnie? player 1 turn: Oui.
Next question: un chat?
{valid: True}
                Word picked by player 1: Une voiture.
Example 3:
Dialog history: player 2 turn: Est-ce que ça un être vivant? player 1 turn: Non.
Next question: Est-ce que ça un insecte?
{valid: False}
End of examples.
Word picked by player 1: <TARGET_WORD>
Dialog history: <DIALOG_HISTORY>
Next question: <QUESTION>
```

Figure 6: Prompt template with French examples and transcript.